



#16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kazunori Suzuki et al.

Group:

Serial No.: 10/035,329

Examiner:

Filed: January 4, 2002

For: HOLOGRAM SCREEN AND HOLOGRAM DISPLAY

VERIFIED TRANSLATION CERTIFICATE

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The undersigned, of the below address, hereby verifies that I well know both the English and Japanese languages, and that the attached is a full, true literal and faithful translation into the English language of the 55 page Japanese language application which I am now advised carries U.S. Serial No. 10/035,329 effectively deposited in the United States Patent and Trademark Office on January 4, 2002.

The undersigned declares further that all statements made herein on personal knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signed this 11 day of March, 2002.

Translator's Signature: Toshiaki Terasaki

Name: Toshiaki Terasaki

Address: c/o A. AOKI, ISHIDA & ASSOCIATES,
TORANOMON 37 MORI BLDG. 3-5-1,
TORANOMON, MINATO-KU TOKYO 105-8423
JAPAN

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/035,329 | 01/04/2002 | Suzuki | 12-018 |

CONFIRMATION NO. 2172

FORMALITIES LETTER



OC000000007530126

23400
LAW OFFICE OF DAVID G POSZ
2000 L STREET, N.W.
SUITE 200
WASHINGTON, DC 20036

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/28/2002 BABRAHA1 00000070 10035329

FILED UNDER 37 CFR 1.53(b)

| | |
|-----------|-----------|
| 01 FC-101 | 740.00 OP |
| 02 FC-105 | 130.00 OP |
| 03 FC-103 | 130.00 OP |
| 04 FC-103 | 198.00 OP |
| 05 FC-102 | 252.00 OP |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*

7 MOGUSS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

209220 620500F

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): SUZUKI et al.

Application No.: 10/035,329

Filed: January 4, 2002

Title: HOLOGRAM SCREEN AND
HOLOGRAM DISPLAY

Attorney Docket No.: 12-018



Group Art Unit:

Examiner:

Date: March 26, 2002

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand delivered to and deposited with the USPTO at the Customer Service Window, Office of Initial Patent Examination, Crystal Plaza Building 2, Room 1B03, 2011 South Clark Place, Arlington, VA 22202 on March 26, 2002.

Typed Name: DAVID G. POSZ

Signature: 

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Nonprovisional Application mailed on February 25, 2002 in connection with the above application. Enclosed is a copy of said Notice, as well as the following documents and fees required to complete the filing requirements of the above application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application the inventors executed by signing the enclosed declaration.

(X) 42 page English language application including 31 numbered claims (6 independent); 24 pages of formal drawings; and a Rule 1.52(d) translation certificate certifying that the English language application is an accurate translation of the originally-filed Japanese language application.

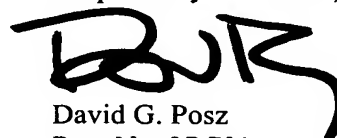
(X) A check in the amount of \$1490 to cover the following fees:

- Statutory filing fee of \$740;
- 11 additional total claim fee for over 20 of \$198;
- 3 additional independent claim fee for over 3 of \$252
- Missing parts surcharge of \$130;
- English translation fee of \$130; and
- Assignment recordation fee of \$40.

Please charge any additional fees, or credit overpayments, to Deposit Account 50-1147.

Law Offices of David G. Posz
2000 L Street, N.W.,
Suite 200
Washington, D.C. 20036
(202) 416-1638
Cumstomer No. 23400

Respectfully submitted,



David G. Posz
Reg. No. 37,701

Repln. Ref: 03/26/2002 PARRAH1 0010555100
DAH:501147 Name/Number:10033259
FC: 704 340.00 CR

20020326 10033259

#3



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | 10/035,329 |
| Filing Date | January 4, 2002 |
| First Named Inventor | SUZUKI et al. |
| Examiner Name | |
| Group/Art Unit | |
| Attorney Docket No. | 12-018 |

| | | |
|-------------------------|------|------|
| TOTAL AMOUNT OF PAYMENT | (\$) | 1490 |
|-------------------------|------|------|

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **50-1147**

Deposit Account Name: **LAW OFFICES OF DAVID G. POSZ**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|-----------------------------------|----------|--------------|----------|--|-----------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | 130 |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) | | | | | (\$) 300 |

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|------------------------|-----------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 740 | 201 | 370 | Utility filing fee | 740 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) 740 |

2. EXTRA CLAIM FEES

| Total Claims | | Extra Claims | | Fee from Below | | Fee Paid | |
|--------------------|--------|--------------|---|----------------|---|----------|--|
| | | | | | | | |
| 31 | -20**= | 11 | X | 18 | = | 198 | |
| 6 | -3**= | 3 | X | 84 | = | 252 | |
| Multiple Dependent | | | | | | | |

**or number previously paid, if greater; For Reissues, see below

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|---|-----------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid | |
| 109 | 84 | 209 | 42 | **Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | (\$) 450 |

SUBMITTED BY

| | | | | | |
|-------------------|---------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | DAVID G. POSZ | Registration No. (Attorney/Agent) | 37,701 | Telephone | (202) 416-1638 |
| Signature | | | | Date | 3-26-02 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.

The PTO did not receive the following listed item(s) Assignment